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Docket No.: 026592.114-US01
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Richard Foster

Application No.: 09/919,910-3984

Group Art Unit: 2166

Filed: August 2, 2001

Examiner: Not Yet Assigned

For: METHOD FOR ANALYZING THE
PERFORMANCE OF SECURITIES

TRANSMITTAL LETTER

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced
Patent Application:

1. Fee Transmittal;
2. Petition for Extension of Time under 37 CFR 1.136(a) (in duplicate);
3. Response to the Notice of Incomplete Reply (in duplicate);
4. Copy of the Notice of Incomplete Reply (Nonprovisional);
5. Submission of Substitute Drawings;
6. 13 sheets of drawings (Figs. 1-13);
7. Check No. 288989 or \$110 to cover the one month extension of time fee; and
8. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 026592.114-US01. If extensions of time under 37 CFR § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 50-0740, referencing Docket No. 026592.114-US01. A duplicate copy of this paper is enclosed.

Dated: December 14, 2001

Respectfully submitted,

By 

Henry P. Ting

Registration No.: 46,278
COVINGTON & BURLING
1201 Pennsylvania Avenue, N.W.
Washington, DC 20004-2401
(202) 662-6000



#5

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SUBMISSION OF SUBSTITUTE DRAWINGS

Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice of Incomplete Reply (Nonprovisional) mailed November 27, 2001, submitted herewith is one set (13 sheets, Figs. 1-13) of substitute drawings in compliance with 37 CFR 1.84(g) for filing in the above-identified Patent application.

Please cancel the drawings previously filed in this application. It is believed that with the submission of the substitute drawings, the application now complies with the regulations to avoid abandonment of the application.

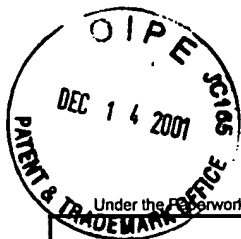
Dated: December 14, 2001

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#6

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002 <i>Patent fees are subject to annual revision.</i>		Complete if Known		
		Application Number	09/919,910-3984	
		Filing Date	August 2, 2001	
		First Named Inventor	Richard Foster	
		Examiner Name	Not Yet Assigned	
		Group Art Unit	2166	
TOTAL AMOUNT OF PAYMENT (\$)		110.00	Attorney Docket No.	026592.114-US01

METHOD OF PAYMENT		FEE CALCULATION (continued)																																											
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 50-0740 Deposit Account Name: <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES																																											
2. <input checked="" type="checkbox"/> Payment Enclosed <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																																													
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1. BASIC FILING FEE																																													
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SUBMITTED BY		Complete (if applicable)	
Name (print/type)	Henry P. Ting	Registration No. (Attorney/Agent)	46,278
Signature		Telephone	(202) 662-6000
		Date	December 14, 2001

#5



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,910	08/02/2001	Richard Foster	26592.114-US01

CONFIRMATION NO. 3984

26853
COVINGTON & BURLING
ATTN: PATENT DOCKETING
1201 PENNSYLVANIA AVENUE, N.W.
WASHINGTON, DC 20004-2401

FORMALITIES LETTER



OC000000007125359

Date Mailed: 11/27/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 11/16/2001 to the Notice to File Missing Parts (Notice) mailed 09/18/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE